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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/659,416	09/11/2000	Arto Astala	NC28244CIP

23860
BRIAN T. RIVERS
NOKIA INCORPORATED
6000 CONNECTION DRIVE
MD 1-4-755
IRVING, TX 75039

FORMALITIES LETTER



OC000000005526630

Date Mailed: 11/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Q T-6

Customer Service Center

Initial Patent Examination Division (703) 308-1202

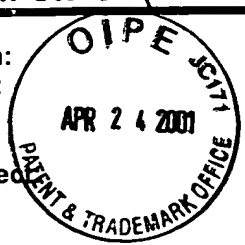
PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/26/2001 SSESHE1 00000066 500270 09659416
01 FC:105 130.00 CH

#3

Shaw Steven (Nokia-IPR/Dallas)

From: Aarnio Ari (NVO/Helsinki)
Sent: Friday, April 20, 2001 12:50 AM
To: Sparkman Beverly (Nokia-IPR/Dallas)
Cc: Shaw Steven (Nokia-IPR/Dallas); Ranta Leena (NVO-NBD/Espoo)
Subject: RE: [RE: [NC28244 and 28244CIP [Documents sent for your signature]]]



We cannot get in contact with Anders Frisk. It seems that he does not want to sign. Therefore take the necessary steps.

BR Ari

-----Original Message-----

From: Sparkman Beverly (Nokia-IPR/Dallas)
Sent: 19 April, 2001 23:14
To: 'ext ANDERS FRISK'
Cc: Shaw Steven (Nokia-IPR/Dallas); Aarnio Ari (NVO/Helsinki); Ranta Leena (NVO-NBD/Espoo)
Subject: RE: [RE: [NC28244 and 28244CIP [Documents sent for your signature]]]

Hello Anders,

Please check the documents that I sent you and either send back the signed Oath/Declaration and Assignment or your comments otherwise. We must have the documents returned to the USPTO by 2 May 2001, or the case will be abandoned.

Again, if you have any questions, please contact Steven Shaw (972) 894-6173.

BR,

Beverly Sparkman
IPR Assistant

-----Original Message-----

From: ext ANDERS FRISK [mailto:anders.frisk@usa.net]
Sent: 08 April, 2001 3:38 AM
To: beverly.sparkman@nokia.com
Subject: Re: [RE: [NC28244 and 28244CIP [Documents sent for your signature]]]

Sorry about the delay in returning the documents. Since it was a thick stack to read I'm afraid I put it off and now I'm traveling in Japan again. I promise to send them back soon after I return to home, but it will not be until after tax day.

/Anders

beverly.sparkman@nokia.com wrote:

> Hello Anders,

>

> I was glad to hear you did receive the documents I sent. According to your
> e-mail of March 1, 2001, you would look over the documents and then send
> them back. I would appreciate it if we could receive these soon. I need to
> file your papers in the US Patent Office. If you have any questions about
> the patent application, please contact Steve Shaw.

>

>

>

> Beverly Sparkman
> IPR Assistant
> Ph. (972) 894-4187
> FAX (972) 894-5619
> e-mail: beverly.sparkman@nokia.com <mailto:beverly.sparkman@nokia.com>>

> IMPORTANT/CONFIDENTIAL: This message contains information from Nokia
> Americas Intellectual Property Rights Department which may be
> privileged, confidential, or exempt from disclosure under applicable
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> are an employee or agent responsible for delivering the message to the
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> distribution, retention, archiving, or copying of the communication is
> strictly prohibited. If you have received this communication in error,
> please notify us immediately by return E-mail.

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> -----Original Message-----

> From: ext ANDERS FRISK [mailto:anders.frisk@usa.net]
> Sent: 01 March 2001 01:03
> To: beverly.sparkman@nokia.com
> Subject: Re: [NC28244 and 28244CIP [Documents sent for your signature]]

>
>

> Beverly,
> I got home a couple of days earlier than expected from my travel. I checked
> with the post office and they found the package from Nokia

>

> I will return the documents as soon as I have had time to look them over.

>

> Sorry for the confusion, but the mail person must have delivered the notice
> to
> the wrong address.

>

> /Anders

>

> beverly.sparkman@nokia.com wrote:

> > Hello Anders,

> >

> > Thanks for verifying your address. I re-sent the documents to you today

> > by

> > regular US mail. They are in a large yellow envelope and the address

> > label

> > is a Nokia label.

> >

> > BR,

> >

> > Beverly

> >

> > Beverly Sparkman

> > IPR Assistant

> > Ph. (972) 894-4187

> > FAX (972) 894-5619

> > e-mail: beverly.sparkman@nokia.com <mailto:beverly.sparkman@nokia.com>>

>

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> > please notify us immediately by return E-mail.

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> > -----Original Message-----

> > From: ext ANDERS FRISK [mailto:anders.frisk@usa.net]

> > Sent: 27 February 2001 12:24

> > To: beverly.sparkman@nokia.com

> > Subject: Re: [RE: [Documents sent for your signature]]

> >
> >

> > Beverly,

> > The address you used is correct. I have not seen any slip with listing

> > Nokia

> > as sender. I usually don't accept large package mail if I don't recognize

> > the

> > sender. Can't be too careful these days...

> >

> > /Anders

> >
> >

> >

> > beverly.sparkman@nokia.com wrote:

> > > Hello Anders,

> > >

> > > I sent the documents to you by certified mail at the following
address:

> > >

> > > 2391 Sharon Road

> > > Menlo Park, CA 94025

> > >

> > > Is this address correct? Did you receive a slip in your box that you

> > > had

> > > mail to pick up or sign for?

> > >

> > > Please let me know if I need to send the documents to a different

> > > address.

> > > I

> > > can send the documents by Fed Ex if there is someone to sign for them

> > > when

> > > they deliver and if you give me your phone number.

> > >

> > > Thanks very much for your help.

> > >

> > > BR,

> > >

> > > Beverly

> > >

> > > Beverly Sparkman

> > > IPR Assistant

> > > Ph. (972) 894-4187

> > > FAX (972) 894-5619

> > > e-mail: beverly.sparkman@nokia.com

<mailto:beverly.sparkman@nokia.com>>

> >

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>>> please notify us immediately by return E-mail.

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>>> -----Original Message-----

>>> From: ext ANDERS FRISK [mailto:anders.frisk@usa.net]
>>> Sent: 23 February 2001 00:29
>>> To: beverly.sparkman@nokia.com
>>> Cc: Steven.Shaw@nokia.com
>>> Subject: Re: [Documents sent for your signature]

>>>
>>>

>>> I have not received any mail package from you. Please check with the
>> carrier
>>> if the package is lost or what may have happened.
>>> Please be advised that I will be traveling for the next week or two and
> if

>>> you
>>> send me another package I will look at it as soon as I return home.

>>>
>>> Best regards,
>>> Anders Frisk
>>>
>>>
>>>

>>> beverly.sparkman@nokia.com wrote:

>>>> Hello Anders,

>>>>

>>>> I recently sent the Oath/Declaration and Assignment papers to you by
>>>> certified mail for the patent applications filed for NC28244 and
>>>> NC28244CIP.

>>>> These applications were filed by Steven Shaw at Nokia in Irving. Can
>> you

>>>> please advise whether you received the documents?

>>>>

>>>> Also, I provided return envelopes with postage for your convenience.>

> Will

>>>> you please e-mail me and let me know when you mail them back?

>>>>

>>>> We will appreciate hearing from you soon.

>>>>

>>>> If you have any questions, please contact me or Steve Shaw

>>>> (steve.shaw@nokia.com).

>>>>

>>>> BR,

>>>>

>>>> Beverly Sparkman

>>>> IPR Assistant

>>>> Ph. (972) 894-4187

>>>> FAX (972) 894-5619

>>>> e-mail: beverly.sparkman@nokia.com

> <mailto:beverly.sparkman@nokia.com>>

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> > > Get free email and a permanent address at
<http://www.netaddress.com/?N=1>

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04-25-01

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Sector
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Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/659,416	
	Filing Date	09/11/2000	
	First Named Inventor	ASTALA, A.	
	Group Art Unit	2152	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	66	Attorney Docket Number	NC28244CIP

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) Petition for Other Inventors to Act on Behalf of Non-Signing Inventor (2 pages) E-mail Document-Proof of Non-Signing Inventor (5 pages) Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Steven A. Shaw, Esq.
Signature	
Date	4/24/01

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as <u>Express Mail</u> in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>04/24/2001</u>	
Typed or printed name	Beverly Sparkman
Signature	
Date	April 24, 2001

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2,060.00

Compleat if Kn wn

Application Number	09/659,416
Filing Date	09/11/2000
First Named Inventor	ASTALA
Examiner Name	Unknown
Group Art Unit	2152
Attorney Docket No.	NC28244CIP

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																													
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: 50-0270</p> <p>Deposit Account Name: NOKIA INC.</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 390</td><td>216 195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 890</td><td>217 445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,390</td><td>218 695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,890</td><td>228 945</td><td>Extension for reply within fifth month</td><td>1,890.00</td></tr><tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,240</td><td>241 620</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,240</td><td>242 620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 440</td><td>243 220</td><td>Design issue fee</td><td></td></tr><tr><td>144 600</td><td>244 300</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr><tr><td>146 710</td><td>246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 710</td><td>249 355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 710</td><td>279 355</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900</td><td>169 900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td></td></tr><tr><td colspan="2">* Reduced by Basic Filing Fee Paid</td><td>SUBTOTAL (3) (\$)</td><td>2,060.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - 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<p>1. BASIC FILING FEE</p> <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 710</td><td>201 355</td><td>Utility filing fee</td><td></td></tr><tr><td>106 320</td><td>206 160</td><td>Design filing fee</td><td></td></tr><tr><td>107 490</td><td>207 245</td><td>Plant filing fee</td><td></td></tr><tr><td>108 710</td><td>208 355</td><td>Reissue filing fee</td><td></td></tr><tr><td>114 150</td><td>214 75</td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="2">SUBTOTAL (1) (\$)</td><td></td><td></td></tr></tbody></table> <p>2. EXTRA CLAIM FEES</p> <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 80</td><td>202 40</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 270</td><td>204 135</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109 80</td><td>209 40</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18</td><td>210 9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="2">SUBTOTAL (2) (\$)</td><td></td><td></td></tr></tbody></table> <p>*for number previously paid, if greater; For Reissues, see above</p>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 710	201 355	Utility filing fee		106 320	206 160	Design filing fee		107 490	207 245	Plant filing fee		108 710	208 355	Reissue filing fee		114 150	214 75	Provisional filing fee		SUBTOTAL (1) (\$)				Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 80	202 40	Independent claims in excess of 3		104 270	204 135	Multiple dependent claim, if not paid		109 80	209 40	** Reissue independent claims over original patent		110 18	210 9	** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2) (\$)																																																																									
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Steven A. Shaw	Registration No. (Attorney/Agent)	39,368
Signature		Telephone	(972) 894-6173
		Date	4/24/01

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